

UNITED STATES DISTRICT COURT

Southern

District of

New York

ARIC A. STREIT & MARY STREIT as Trustees
for the benefit of the STREIT LIVING TRUST

SUMMONS IN A CIVIL ACTION

V.

UBS AG, UBS SECURITIES LLC and UBS
FINANCIAL SERVICES, INC.

CASE NUMBER:

08 CIV 52517

TO: (Name and address of Defendant)

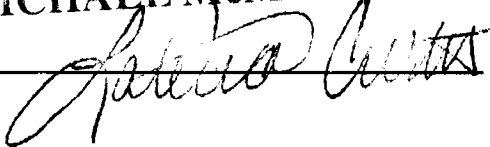
YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Jerome M. Congress, Esq.
MILBERG LLP
One Pennsylvania Plaza - 49th Floor
New York, NY 10119-0165

an answer to the complaint which is served on you with this summons, within _____ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

J. MICHAEL McMAHON

CLERK



DATE

JUN 09 2008

(By) DEPUTY CLERK

RETURN OF SERVICE

Service of the Summons and complaint was made by me ⁽¹⁾	DATE
NAME OF SERVER (<i>PRINT</i>)	TITLE

Check one box below to indicate appropriate method of service

- ☐ Served personally upon the defendant. Place where served:
- ☐ Left copies thereof at the defendant's dwelling house or usual place of abode with a person of suitable age and discretion then residing therein.
Name of person with whom the summons and complaint were left:
- ☐ Returned unexecuted:
- ☐ Other (specify):

STATEMENT OF SERVICE FEES

TRAVEL	SERVICES	TOTAL \$0.00
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DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____
Date

Signature of Server

Address of Server

(1) As to who may serve a summons see Rule 4 of the Federal Rules of Civil Procedure.

SCHEDULE A

UBS AG
299 Park Avenue
New York, NY 10171

- and -

UBS AG
1285 Avenue of the Americas
New York, NY 10019

UBS SECURITIES LLC
677 Washington Boulevard
Stamford, CT 06901

UBS FINANCIAL SERVICES, INC.
1285 Avenue of the Americas
New York, NY 10019

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

Attorney(s) for Plaintiff(s): Jerome M. Congress, Esq.

Address: Milberg LLP, One Pennsylvania Plaza-49th Floor, New York, NY 10119-0165

AFFIDAVIT OF SERVICE

**ARIC A. STREIT & MARY STREIT as Trustees
for the benefit of the STREIT LIVING TRUST**

Plaintiff(s)

VS.

Case No.: 08 CIV 5251

Filed On: Jun 09 2008

**UBS AG, UBS SECURITIES LLC
and UBS FINANCIAL SERVICES, INC.**

Defendant(s)

STATE OF CONNECTICUT:
COUNTY OF FAIRFIELD: S.S.

ERIC RUBIN BEING DULY SWORN DEPOSES AND SAYS: That deponent is not a party to this action, is over the age of 18 years and resides in the State of Connecticut.

That on 6/10/08 at 3:11 PM at 201 TRESSER BLVD., STAMFORD, CT 06901, deponent made due service of the within **SUMMONS IN A CIVIL ACTION, CLASS ACTION COMPLAINT FOR VIOLATIONS OF FEDERAL SECURITIES LAWS, CIVIL COVER SHEET, RELATED CASE AFFIDAVIT, INDIVIDUAL PRACTICES OF JUDGE LAWRENCE M. McKENNA on UBS SECURITIES LLC**, defendant therein named.

() **INDIVIDUAL**: By delivering a true copy of each to said recipient personally; deponent knew or had reason to believe the person to be the individual described within.

(X) **CORPORATION**: By delivering thereat a true copy of each to **CYNTHIA WALSHE** at the above address and that deponent knew the person so served to be the **DIRECTOR, REGION AMERICAS LEGAL EMPLOYMENT & LITIGATIONS SECTIONS** of the corporation and said person stated that they were authorized to accept on behalf of the corporation.

() **SUITABLE AGE PERSON**: By delivering thereat a true copy of each to _____, a person of suitable age and discretion. Said premises is defendant's ___actual place of business___dwelling house (usual place of abode) within the state.

() **MAILING**: Deponent also enclosed a true copy of each in a first class postpaid sealed envelope properly addressed to the defendant at defendant's last known residence at _____ on _____ and deposited said envelope in an official depository under exclusive care and custody of the United States Postal Service within the state where service was effected. The envelope bore the legend "Personal and Confidential" and did not indicate on the outside, by return address or otherwise, that the communication concerned an action against the defendant.

DESCRIPTION: Deponent describes the individual served as follows:

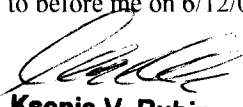
SEX	SKIN COLOR	HAIR COLOR	AGE	HEIGHT	WEIGHT	OTHER
Female	White	Red	36-50	5'3"-5'6"	100-130 lbs	

Attest,



Eric Rubin, Private Process Server

Sworn to before me on 6/12/08


**Ksenia V. Rubin
NOTARY PUBLIC**

State of Connecticut

My Commission Expires 12/31/12